

**CERTIFICATE APPLICATION FORM FOR THE PURPOSES  
OF ARTICLE 26/6/D AND /E OF THE STAMP DUTY CODE**

**Note:** We inform the applicant that CMVM **(a)** only issues certificates regarding the price of securities listed in a Portuguese market; **(b)** does not issue certificates with the value of units in any type of funds (savings, educational, retirement, investment or other), due to the fact that conveyances involving such units are exempt from Stamp Duty Tax and, hence, also exempt from the obligation to communicate such transmissions to the Portuguese Tax Authority, in pursuant to article 1, nr. 5, paragraph b), and article 26, nr. 1, of the Portuguese Stamp Duty Code; **(c)** only informs of the par value of non-listed shares (or of shares not listed in the previous 6 months) that pertain to Portuguese companies; and **(d)** does not have information to perform the calculation of the value of securities not listed in the 6 months prior to the transmission, according to article 15, nr. 3, paragraph c), of the Portuguese Stamp Duty Code.

**Please use capital letters to fill out the form. It must not contain erasures or overwriting.**

**1 - Information on Applicant** (See instructions at footnote 1)

Full name of the Estate Administrator or Grantee			VAT Reg. No.		
Full name of the Applicant's Representative			VAT Reg. No.		
Address (for postal purposes)					
Town			Postal Code		
Landline or Mobile Number			E-mail (optional)		

**2 - Information on the testator/endower/grantor of other gratuitous conveyance** (Mark the relevant situation with X and include the date of death, endowment or any other gratuitous conveyance information)

Full Name										
VAT Reg. No.										
Death	Endowment (2)	Other gratuitous conveyance information (3)						(day/month/year)		

**3 - Information on the Financial Instruments in the Inheritance/Endowment/Other Gratuitous Conveyance**

Full name of the Financial Instrument <sup>(4)</sup>	
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	

### 3 - Information on the Financial Instruments in the Inheritance/Endowment/Other Gratuitous Conveyance

Full name of the Financial Instrument <sup>(4)</sup>

10.	<input type="text"/>
11.	<input type="text"/>
12.	<input type="text"/>
13.	<input type="text"/>
14.	<input type="text"/>

### 4 - Postal payment on delivery or collect (Mark option with X)

Via postal service	<input type="checkbox"/>	Collect at CMVM's head office	<input type="checkbox"/>
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### 5 - Comments

<input type="text"/>
<input type="text"/>

### 6 - Statement and Signature

I do solemnly swear that the information provided above is true and that no facts have been omitted.

Town and date



(day/month/  
year)

Signature of the Applicant \_\_\_\_\_

#### Instructions for filling out the form

1. The applicant's information must be that of the estate administrator for inheritance purposes or that of the trustee for endowment purposes. The certificate application may also be requested by a legal representative/attorney-in-fact.
2. Enclose the endowment contract or articles of association, if applicable.
3. Enclose the court ruling.
4. The information concerning the financial instrument should include its type (shares, bonds, etc.) and whenever possible, identify the financial instrument via its ISIN or trade symbol. The relevant NCPI must be included when in respect of shares of an unlisted company.